

Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

FILED / RECEIVED

MAY 22 2015

EPIQ BANKRUPTCY SOLUTIONS, LLC

In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)

Court ID (Court Use Only) _____


NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> Hochstrasser-Graf Gertrud	<u>Name of Transferor:</u> UBS AG
<u>Notices to Transferee should be sent to:</u> Hochstrasser-Graf Gertrud Säntisstrasse 1 CH-8442 Hettlingen (Switzerland)	<u>Court Record Address of the Transferor:</u> (Court use only)
<u>Name and Address where transferee payments should be sent (if different from above):</u> Hochstrasser-Graf Gertrud Säntisstrasse 1 CH-8442 Hettlingen (Switzerland)	<u>Name and Current Address of Transferor:</u> UBS AG Bahnhofstrasse 45 8001 Zurich, Switzerland Attn: Mr. Hugo Koller +41 44 235 37 36 - hugo.koller@ubs.com
<u>Amount of Claim Being Transferred:</u> CHF 20'000.00 (face amount of securities)	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS
<u>Court Claim No. (if known):</u> 59233	
<u>Date Claim Filed:</u> October 30, 2009	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

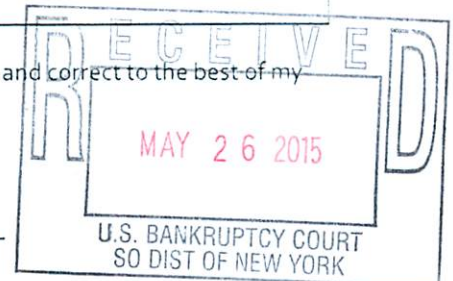
By:


Matthias Hafner
Zürcher Kantonalbank
Transferee's Agent


Sandra Azzolina

Date:

4.5.2015



Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

EVIDENCE OF TRANSFER OF CLAIM

AT
United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court")
One Bowling Green
New York, New York 10004
Attention: Clerk of the Court

AND TO: Lehman Brothers Holdings Inc. (the "Debtor")

Case Name and Number: *In re Lehman Brothers Holdings Inc., No. 08-13555 (JMP)*

Proof of Claim Number: 59233 (the "Proof of Claim")

Portion of the Claim Subject to Transfer (the "Transferred Claim") CHF 20'000.00

This Evidence of Transfer of Claim relates solely to that portion of the Proof of Claim that is based on the following Lehman Programs Securities:

DESCRIPTION OF SECURITY	ISIN	ISSUER	GUARANTOR	FACE AMOUNT
Lehman Bros Securities NY 1007-30 10 08	XS0324890440	LBS NV	Lehman Bros Holding Inc.	CHF 20'000.00 out of CHF 52'547'000.00

The aggregate amount of the Transferred Claim is to be Determined. For the avoidance of doubt, these Lehman Programs Securities are described on the Addendum to the Proof of Claim.

UBS AG, ("Transferor") hereby acknowledges to have unconditionally and irrevocably transferred and assigned to

Rechtsanwältin Cornelia
Santestrasse 1
CH-8442 Hettlingen (Switzerland)
Tel. +41 52 546 12 32

the Transferor's interest in the Transferred Claim against the Debtor, to the effect that the Transferor shall not be permitted to assert any other claim or participation against the Debtor in connection with the Transferred Claim.

The Transferor hereby certifies that the Transferred Claim is not subject to any other claim or participation of the Transferor or its affiliates, and that the Transferor is not aware of any other claim or participation of the Transferor or its affiliates in connection with the Transferred Claim. The Transferor hereby certifies that the Transferred Claim is not subject to any other claim or participation of the Transferor or its affiliates, and that the Transferor is not aware of any other claim or participation of the Transferor or its affiliates in connection with the Transferred Claim.

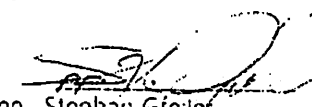
Witness my hand and the seal of the Bankruptcy Court this 19th day of June 2015.

and are hereby directed to make future payments and distributions, and to give all notices and other communications, in respect of the Transferred Claim to Transferee.

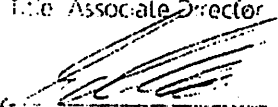
IN WITNESS WHEREOF, the undersigned has duly executed this by device of Transfer of Claim by its duly authorized representative dated April 30, 2015.

UBS AG
Transferor

By


Name: Stephan Greiler
Title: Associate Director

By


Name: Matthias Monos
Title: Associate Director

Logistik
LOAA2



Zürcher
Kantonalbank

Kontakt
Telefon
Fax
E-Mail
Adresse

LOAA2
044 292 98 92
044 292 86 64
corporateactions@zkb.ch
Postfach
8010 Zürich

Einschreiben

Epiq Bankruptcy Solutions, LLC
Attn: Lehman Brothers Holdings Inc.
Claims Processing
757 Third Avenue, 3rd Floor
New York, NY 10017
USA

Zürich, 4. Mai 2015

Partial Transfer of Claim „Lehman Brothers Treasury NV“

Dear Sir or Madam,

Please find enclosed the originally signed "Notice Pursuant to Bankruptcy Rule 3001" and "Evidence of Transfer of Claim", released by UBS on April 30, 2015.

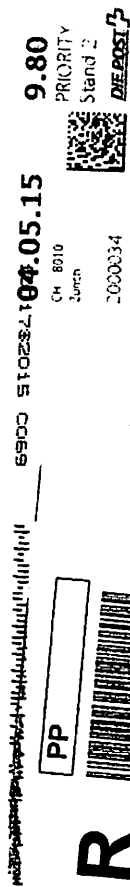
We kindly ask you to process the partial transfer of claim:

ISIN:	XS0324890440
Titel:	5 % (10 % P.A) Barrier Reverse Convertible Lehman Br.Sec NV 2007-30.10.08 (EXP.23.10.08) on a Basket of Shs -In default-(M)
Nominal:	Nom. -20'000-
Transferor:	UBS
Transferee:	Gertrud Hochstrasser-Graf
Initial Proof of Claim Number:	59233

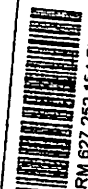
Best regards
Zürcher Kantonalbank

Matthias Hafner

Sandra Azzolina



PP



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Recommandé
Suisse

RM 627 252 154 CH

04.05.2015



MAY 22 2015